

2814

PATENT  
81788.0025

FORM PTO-1083

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Seiichi Mori

Serial No: 09/451,619

Filed: November 30, 1999

For: NON-VOLATILE SEMICONDUCTOR MEMORY AND  
MANUFACTURING METHOD THEREOF

Art Unit: 2814

Examiner: WEISS, H.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:  
Commissioner for Patents  
Washington D.C. 20231, on  
June 26, 2001

Date of Deposit

Michael Crapenchoff Reg. No. 37,115

Name

*Michael Crapenchoff*

June 26, 2001

Signature

Date

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Transmitted herewith is a Response to Restriction Requirement in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.
- ☐ A certified copy of \_\_\_ Patent Application No. \_\_\_ filed \_\_\_ from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☐ A Change Of Correspondence Address is enclosed.
- ☐ Petition for Extension of Time (3-month) is enclosed.
- ☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1) CLAIMS REMAINING AFTER AMENDMENT		(Col. 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Col. 3) PRESENT EXTRA*	LG/SM \$ ENTITY FEE	ADD'L FEE DUE
TOTAL CLAIMS FEE	6	-	20 **	0	LG=\$18 SM=\$9	\$ 0
INDEPENDENT CLAIMS FEE	1	-	5 ***	0	LG=\$80 SM=\$40	\$ 0
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIMS					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135	\$
TOTAL						\$ 0

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box on Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ A check in the amount of \$ 0 to cover the additional claims fee is enclosed. **A copy of this sheet is enclosed.**
- ☐ A check in the amount of \$ 0 to cover the extension fee is enclosed. **A copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge any deficiencies of fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
  - ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims
  - ☒ Any patent application processing fees under 37 C.F.R. § 1.17

Date: June 26, 2001

Biltmore Tower  
500 South Grand Avenue, Suite 1900  
Telephone: 213 337-6700  
Facsimile: 213 337-6701

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: *Michael Crapenchoff*  
Michael Crapenchoff  
Registration No. 37,115  
Attorney for Applicant(s)

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Michael Crapenhof, Reg. 37,115

Name

*Michael Crapenhof* June 26, 2001  
Signature Date

**RESPONSE TO RESTRICTION REQUIREMENT**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Restriction Requirement dated May 30, 2001, Applicant, without traverse, elects for prosecution the claims of Group I, Claims 1-6, drawn to a semiconductor device. Please cancel Claims 7-18 without prejudice.

Respectfully submitted,

HOGAN & HARTSON L.L.P.

Date: June 26, 2001

By: *Michael Crapenhof*  
Michael Crapenhof  
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Attorney for Applicant(s)

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